

PATHWAYS TO WELL-BEING (Formerly Katie A. Subclass) Settlement

I. Why are we providing Pathways to Well-Being (PWB) services?

The Katie A. Lawsuit, *Katie A. et al. v. Bonta et al.*, refers to a class action lawsuit filed in Federal District Court in 2002 concerning the availability of intensive mental health services to children/youth in California who are either in foster care or at imminent risk of coming into care. A settlement agreement was reached in the case in December 2011. Services associated with the Katie A. Subclass Settlement are now referred to as **Pathways to Well-Being (PWB)**.

II. When did we start PWB services?

January 1st, 2013 was the State's targeted implementation date of PWB services. However, since there were delays by the State to finalize the guidance of these services until recently, BHS-CYBH implemented the services in July 2013.

III. Who meets the PWB criteria?

- A. Minors (children/youth up to age 21)
 - 1. with an open child welfare services case
 - 2. with full scope Medi-Cal (Title XIX)
 - 3. who meet medical necessity for Specialty Mental Health Services
 - 4. have either of the following criteria(s):
Currently in or being considered for:
 - i) Wraparound, therapeutic foster care, specialized care due to behavioral health needs or other intensive EPSDT services (i.e. TBS, crisis stabilization/intervention).
or
 - ii) Group Home (RCL 10 or above), psychiatric hospitalization or 24 hr. mental health treatment facility; or has experienced his/her 3rd or more placements within 24 months due to behavioral health needs.

IV. Eligibility Form

CYBH has created an Eligibility Form that will need to be completed to verify if the minor meets the criteria for PWB services. The form has been updated. Please click on the link to view the form

<http://www.ochealthinfo.com/civicax/filebank/blobdload.aspx?BlobID=78307>

V. 2 New BHS-CYBH Billing Codes

- A. PWB services currently only have **2 new primary billable** codes, which are Intensive Care Coordination (ICC) and Intensive Home-Based Services (IHBS). BHS-CYBH will include the option to code for a **No Fee** (non-billable service) or a **Noncompliant** service as there maybe circumstances in which these two primary services may not be billed.

1. Intensive Care Coordination (ICC):

- a) 90899-151 Intensive Care Coordination
- b) 90899-152 Intensive Care Coordination No Fee
- c) 90899-153 Intensive Care Coordination Noncompliant

2. Intensive Home-Based Services (IHBS):

- a) 90899-154 Intensive Home-Based Services
- b) 90899-155 Intensive Home-Based Services No Fee
- c) 90899-156 Intensive Home-Based Services Noncompliant

B. ICC & IHBS services are guided by the Core Practice Model (CPM):
CPM adheres to a set of family centered values and principles that are driven by a defined Child and Family Team (CFT) process, and would be utilized by all agencies or individuals who serve the PWB members and their families.

C. All of these new codes are already included in the current ED.

VI. ICC services in detail

A. ICC Defined:

A service that is responsible for facilitating assessment, care planning and coordination of services, including urgent services for children/youth who meet the PWB criteria.

- Note: All who meet the PWB criteria are **required** to receive ICC services. When a child becomes part of PWB use the ICC vs. Targeted Case Management codes. There is an **Exception**: ICC services cannot be billed for client's placed in a psychiatric hospital unless it is 30 days prior to transition to home placement or discharge from psychiatric hospitalization for the purposes of coordinating care as part of the discharge planning. If the services do not relate to discharge planning that meet the criteria above, the services during a psychiatric hospitalization should then be billed as non-billable service codes per Medi-Cal guidelines.

B. ICC Services:

Identify an ICC Coordinator which mirrors what a primary therapist is already doing when coordinating services for a youth in Foster Care. This is not a role change but simply a title that the State has asked us to use for these cases.

- 1. The ICC Coordinator can be any CYBH mental health provider/representative that is part of the Child and Family Team (i.e., licensed clinician, rehab worker, etc.). Typically in CYBH the primary therapist would be the ICC Coordinator. The exception to this rule would be when a case is also opened in CCPU, then the CCPU therapist and the primary therapist will consult to determine who would become the ICC coordinator. Once the ICC Coordinator is determined, this must be documented on the PWB 90-Day review tracking sheet.
- 2. The ICC Coordinator's duties may include but are not limited to:
 - a) Facilitating the planning and delivery of services cross-system/multi-agency (i.e., wraparound services, TBS, education, probation, etc.).
 - b) Assessing the need for urgent mental health services.

- c) Coordination of care to address services on the Care Plan and the Individualized Plan of Care.
3. The ICC coordinator is typically the point of access on the Child and Family Team (CFT):
A CFT is comprised of the youth, family members and all ancillary services with the goal of successfully transitioning the youth out of the child and welfare system by way of integrating a multidisciplinary approach, that may, but are not limited to services such as child welfare, mental health, education, probation, etc. It could be that you are already participating in these types of meetings but if not, direction will be provided in the future as to how these will be formed.

VII. IHBS services in detail

A. IHBS Defined:

Are intensive, individualized and strength-based, needs-driven intervention activities that support the engagement/participation of the minor and his/her significant others to help the minor develop skills and achieve the goals and objectives of the Care Plan.

- When a child becomes part of the Pathways to Well-Being subclass, Rehab services will no longer be used and replaced with IHBS instead. This is similar to what we are currently doing when referring a case for Rehab services. The primary therapist determines the need and then makes the referral for Rehab services and will now do the same for IHBS. IHBS covers both individual and family services. If an individual is psychiatrically hospitalized the provider must utilize non-billable service codes.

B. IHBS Services:

1. Medically necessary skill-based interventions for remediation of behaviors or improvement of symptoms
2. Development of functional skills to improve self-care, self-regulation or other functional impairments
3. Improve and/or educate self-management of symptoms
4. Promote the development or maintenance of social supports
5. Address behaviors that interfere with the achievement of stable and permanent family life
6. Address behaviors that impede seeking or maintaining a job
7. Address behaviors that impede with educational objectives
8. Address behaviors that impede with transitional independent living (i.e. seeking or maintaining housing and living independently).

C. IHBS vs. Rehab Services:

The major difference is that IHBS is used for PWB members only vs. children/youth who have not been identified to meet the criteria for this subclass. Services listed above are essentially the same as Rehab services as we know it.

VIII. How will we implement PWB services?

A. How this might look:

1. Provider will screen all present and in-coming foster youths for PWB using the Eligibility Form. It is not necessary to document in a progress note the completion of this form. If the clinician has determined PWB eligibility prior to completing the assessment, a progress note documenting the child/youth has met medical necessity should be completed and the dated entered onto the PWB Eligibility Assessment form.
2. Once the PWB member is identified, then immediately:
 - a) Add ICC and/or IHBS to the existing CARE PLAN, or INTERIM CARE PLAN if appropriate.
 - i. This may be done by writing in ICC next to Targeted Case Management and if necessary, writing in IHBS next to Rehab services.
 - ii. The clinician needs to initial and date the CARE PLAN changes.
 - iii. Signatures from the youth or guardian are not necessary for updating this existing CARE PLAN.
 - iv. The clinician needs to write a corresponding progress note about the CARE PLAN update and bill it as ICC.
 - b) Provider will need to update the documents for coordination of care for services provided in collaboration with SSA and/or other programs. **Reminder: As the CARE PLAN and other documents are updated, you must notify the other programs of this update as part of coordination of care (e.g., WRAP, etc.) so they can also start using these codes.**
 - c) No less frequent than every 90 days, it is required that PWB services be reviewed (only a progress note is required for these reviews). (See page 8 for an example of the tracking sheet)
 - i. This service re-assesses the client's and family's needs and progress in treatment. It is a review of the **Child and Family Team (CFT) Plan** which is completed at each CFT meeting and should be conducted, at a minimum, with input from the social worker and client/family.
 - ii. The content of the 90-Day review progress note should be similar to what we currently write for a 6-Month Update progress note.
 - iii. This is a billable ICC service (unless the client is currently residing in a group home).
 - d) In order to know when the 90-Day reviews are due, think of these as quarterly reviews which would usually fall between the 6-Month Update and the Annual Update review. Also between the Annual Update review and the 6-Month Update review. (See pages 10 and 12 for examples)
 - e) When a 90-Day review falls at the same time as the 6-Month or Annual Update review only one progress note is needed. No need to write two separate notes. The CARE PLAN continues to only be updated at the 6-Month and Annual Update review.
- B. **For the PWB members only**, the 6-Month Update/quarterly review and Annual Update/quarterly review (known as the 90-Day review), should be billed as ICC and not as an Assessment service.

IX. When do we stop providing PWB services?

- A. Once the PWB subclass member is no longer in the child-welfare system, or no longer meets any of the PWB subclass criteria, ICC/IHBS services can be discontinued.
 - 1. The ICC Coordinator needs to complete the PWB Eligibility Form only with the following information: clinic name, client's name, DOB, MRN, check "NO" in item 6 regarding client does not meet PWB criteria, date and sign. Support staff will enter this information in IRIS. Termination date will be the date on which the form was signed.
- B. However, if the minor continues to be eligible for Medi-Cal and meets medical necessity for specialty mental health services as determined by the clinician, the minor may continue to receive mental health services based on their needs.

X. PWB "Reminders"

- 1. Use the Eligibility Form to assess if a youth meets the PWB criteria (not billable).
- 2. ICC Services are basically the same as Targeted Case Management services. ICC billing codes are only used for those clients who meet the PWB criteria. All members of the subclass **must** receive ICC.
- 3. IHBS Services are basically the same as Rehab services. The IHBS codes should only be used for those identified as PWB members and who meets medical necessity for specialty mental health services.
- 4. **EXCEPTIONS:** ICC services cannot be billed for client's placed in a psychiatric hospital unless it is 30 days prior to transition to home placement or discharge from psychiatric hospitalization for the purposes of coordinating care as part of the discharge planning. IHBS services cannot be billed for client's placed in a psychiatric hospital.
 - When a client is psychiatrically hospitalized, continue to use non-billable codes.
- 5. When the youth is no longer in the child welfare system, complete the PWB Eligibility Form to indicate that the youth is no longer a subclass member and stop billing ICC and IHBS services. Submit form to support staff who will then enter eligibility termination date into IRIS.
- 6. Continue to provide mental health services (i.e. individual therapy, collateral therapy, case management, psychiatric services, rehab services, etc.) if the minor is determined to have a need for specialty mental health services, has Medi-Cal and meets medical necessity.

XI. Glossary

Child and Family Team (CFT) – A CFT is comprised of the youth and family and all of the ancillary individuals who are working with them toward their successful transition out of the child welfare system. The team is comprised of the child welfare worker, the youth and family, service providers and any other members as necessary and appropriate. No single individual, agency or service provider works independently but rather as part of the team for decision-making. Child welfare workers and mental health staff and service providers work within a team environment which engages youth and families as partners in that environment. Each individual team member has their unique role and responsibilities, but they are always working as part of the team.

Core Practice Model (CPM) – The Core Practice Model (CPM) is a set of concepts, values, principles, and standards of practice that outline an integrated approach to working with children/youth and families involved with child welfare who have or may have mental health needs. It provides a framework for all child welfare and mental health agencies, service providers and community/tribal partners working with youth and families.

Foster Care Placement – 24-hour substitute care for all children placed away from their parent(s) or guardian(s) and for whom the State agency has placement and care responsibility. (Section 1355.20 Code of Federal Regulations).

Intensive Care Coordination (ICC) – a service that is responsible for facilitating assessment, care planning and coordination of services, including urgent services (for children/youth who meet the Katie A. subclass criteria).

Intensive Home Based Services (IHBS) – are intensive, individualized and strength-based, needs-driven intervention activities that support the engagement and participation of the child/youth and his/her significant others and to help the child/youth develop skills and achieve the goals and objectives of the plan.

Open Child Welfare Services Case – means any of the following: a) child is in foster care; b) child has a voluntary family maintenance case (pre or post, returning home, in foster or relative placement), including both court ordered and by voluntary agreement. It does not include cases in which only emergency response referrals are made.

Parent Partners/Advocates – Parent Partners/Advocates are key individuals who work with children/youth and families within the public child welfare, juvenile probation or mental health systems. Parent Partners/Advocates are past consumers and can convey information on how systems and programs can instill the family-centered and family driven philosophy and principles necessary to engage children/youth and families.

Rehabilitation – Per SPA #10-016, rehabilitation means a recovery or resiliency focused service activity identified to address a mental health need in the client plan. This service activity provides assistance in restoring, improving, and/or preserving a beneficiary's functional, social, communication, or daily living skills to enhance self-sufficiency or self-regulation in multiple life domains relevant to the

developmental age and needs of the beneficiary. Rehabilitation also includes support resources, and/or medication education. Rehabilitation may be provided to a beneficiary or a group of beneficiaries.

Specialty Mental Health Services – Per Title 9, Chapter 11, Section 1810.247, means:

- (a) Rehabilitative Mental Health Services, including:
 - (1) Mental health services
 - (2) Medication support services
 - (3) Day treatment intensive
 - (4) Day rehabilitation
 - (5) Crisis intervention
 - (6) Crisis stabilization
 - (7) Adult residential treatment services
 - (8) Crisis residential treatment services
 - (9) Psychiatric health facility services
- (b) Psychiatric Inpatient Hospital Services
- (c) Targeted Case Management
- (d) Psychiatrist Services
- (e) Psychologist Services
- (f) EPSDT Supplemental Specialty Mental Health Services
- (g) Psychiatric Nursing Facility Services

Therapeutic Foster Care (TFC) – TFC will be added to this manual at a later date. Further guidance and training from the State is being planned.

Wraparound – Wraparound is an intensive, individualized care planning and management process. The Wraparound process aims to achieve positive outcomes by providing a structured, creative and individualized team planning process that results in plans and services that are effective and relevant to the child and family.

XII. Informational Link:

Core Practice Model Guide for Katie A. subclass members:

<http://www.dhcs.ca.gov/Documents/KACorePracticeModelGuideFINAL3-1-13.pdf>

Examples of the 90-Day PWB Member Tracking Sheet

Pathways to Well-Being Member Tracking Sheet Blank Form

Clinic Name and address	Pathways to Well-Being (PWB) Member Tracking Sheet	Label
-------------------------	---	-------

ICC Coordinator (Name & Title): _____

Date: _____

90-Day Review: 1 st Quarter	90-Day Review: 2 nd Quarter / 6- Month Review	90-Day Review: 3 rd Quarter	90-Day Review: 4 th Quarter / Annual Review
Child meets PWB criteria: ___ Yes ___ No	Child meets PWB criteria: ___ Yes ___ No	Child meets PWB criteria: ___ Yes ___ No	Child meets PWB criteria: ___ Yes ___ No
See progress note dated: _____ for update of case.	See progress note dated: _____ for update of case.	See progress note dated: _____ for update of case.	See progress note dated: _____ for update of case.
Initials: _____	Initials: _____	Initials: _____	Initials: _____

90-Day Review: 1 st Quarter	90-Day Review: 2 nd Quarter / 6- Month Review	90-Day Review: 3 rd Quarter	90-Day Review: 4 th Quarter / Annual Review
Child meets PWB criteria: ___ Yes ___ No	Child meets PWB criteria: ___ Yes ___ No	Child meets PWB criteria: ___ Yes ___ No	Child meet PWB criteria: ___ Yes ___ No
See progress note dated: _____ for update of case.	See progress note dated: _____ for update of case.	See progress note dated: _____ for update of case.	See progress note dated: _____ for update of case.
Initials: _____	Initials: _____	Initials: _____	Initials: _____

90-Day Review: 1 st Quarter	90-Day Review: 2 nd Quarter / 6- Month Review	90-Day Review: 3 rd Quarter	90-Day Review: 4 th Quarter / Annual Review
Child meets PWB criteria: ___ Yes ___ No	Child meets PWB criteria: ___ Yes ___ No	Child meets PWB criteria: ___ Yes ___ No	Child meets PWB criteria: ___ Yes ___ No
See progress note dated: _____ for update of case.	See progress note dated: _____ for update of case.	See progress note dated: _____ for update of case.	See progress note dated: _____ for update of case.
Initials: _____	Initials: _____	Initials: _____	Initials: _____

90-Day Review: 1 st Quarter	90-Day Review: 2 nd Quarter / 6- Month Review	90-Day Review: 3 rd Quarter	90-Day Review: 4 th Quarter / Annual Review
Child meets PWB criteria: ___ Yes ___ No	Child meets PWB criteria: ___ Yes ___ No	Child meets PWB criteria: ___ Yes ___ No	Child meets PWB criteria: ___ Yes ___ No
See progress note dated: _____ for update of case.	See progress note dated: _____ for update of case.	See progress note dated: _____ for update of case.	See progress note dated: _____ for update of case.
Initials: _____	Initials: _____	Initials: _____	Initials: _____

90-Day Review: 1 st Quarter	90-Day Review: 2 nd Quarter / 6- Month Review	90-Day Review: 3 rd Quarter	90-Day Review: 4 th Quarter / Annual Review
Child meets PWB criteria: ___ Yes ___ No	Child meets PWB criteria: ___ Yes ___ No	Child meets PWB criteria: ___ Yes ___ No	Child meets PWB criteria: ___ Yes ___ No
See progress note dated: _____ for update of case.	See progress note dated: _____ for update of case.	See progress note dated: _____ for update of case.	See progress note dated: _____ for update of case.
Initials: _____	Initials: _____	Initials: _____	Initials: _____

Pathways to Well-Being Member Tracking Sheet

How to implement the 90-Day Reviews

Example A:

- A. This is a new case and identified to meet the criteria for the Katie A. subclass during the assessment period.
- B. The case is opened **May 1, 2013**.
 1. The clinician will complete the Initial Assessment within the 60-Day period by **June 29, 2013**.
 - a. During the 60-Day assessment period, the Pathways to Well-Being subclass Member Tracking Sheet shall be completed as well when the youth has been identified to meet the subclass criteria. If the client meets criteria to receive ICC and or IHBS then the CARE PLAN will include TCM/ICC and or Rehab services/IHBS.
 2. The 1st 90-Day review is due at the 6-Month Update, **October 31, 2013**, even though in this case it is greater than the 90-Day review period. The purpose for this 1st 90-Day review exception is to align the 90-Day review with the 6-Month Updates and Annual Updates going forward.
 - a. The 90-Day review is documented in the appropriate quarterly box on the PWB Member Tracking Sheet and in a corresponding progress note to reflect progress towards the Core Practice Model objectives. This is billed as ICC.
 - b. This is a 90-Day review/6-Month Update, only one note is required and this is billed as ICC.
 - c. As usual procedure for a 6-Month Update; the MTP and the CARE PLAN will need to be updated.
 3. The next 90-Day review will be due 3 months following the 6-Month Update review and is due by **January 31, 2014**.
 - a. Update the appropriate quarterly box on the Pathways to Well-Being Member Tracking Sheet and document in a corresponding progress note the progress and/or barriers during this period. This is billed as ICC.
 4. The next 90-Day review will be at the actual Annual Update review and due by **April 30, 2014**.
 - a. The 90-Day review is documented in the appropriate quarterly box on the Pathways to Well-Being Member Tracking Sheet and in a corresponding progress note to reflect progress towards the Core Practice Model objectives. This is billed as ICC.
 - b. This is a 90-Day/Annual Update, only one note is required and this is billed as ICC.
 - c. As usual procedure for an Annual Update review; the MTP and CARE PLAN will need to be updated.

Clinic Name and address

**Pathways to Well-Being
(PWB) Member Tracking Sheet**

Example A
Case Opened: 05/01/13

ICC Coordinator (Name & Title): **Candy Parker, LCSW**

Date: **05/01/13**

90-Day Review: 1 st Quarter	90-Day Review: 2 nd Quarter / 6- Month Review	90-Day Review: 3 rd Quarter	90-Day Review: 4 th Quarter / Annual Review
Child meets PWB criteria: __ Yes <input checked="" type="checkbox"/> No	Child meets PWB criteria: <input checked="" type="checkbox"/> Yes __ No	Child meets PWB criteria: <input checked="" type="checkbox"/> Yes __ No	Child meets PWB criteria: <input checked="" type="checkbox"/> Yes __ No
See progress note dated: _____ for update of case.	See progress note dated: <u>10/31/13</u> for update of case.	See progress note dated: for <u>01/31/14</u> update of case.	See progress note dated: <u>04/30/14</u> for update of case.
Initials: _____	Initials: CP	Initials: CP	Initials: CP

90-Day Review: 1 st Quarter	90-Day Review: 2 nd Quarter / 6- Month Review	90-Day Review: 3 rd Quarter	90-Day Review: 4 th Quarter / Annual Review
Child meets PWB criteria: __ Yes __ No	Child meets PWB criteria: __ Yes __ No	Child meets PWB criteria: __ Yes __ No	Child meet PWB criteria: __ Yes __ No
See progress note dated: _____ for update of case.	See progress note dated: _____ for update of case.	See progress note dated: _____ for update of case.	See progress note dated: _____ for update of case.
Initials: _____	Initials: _____	Initials: _____	Initials: _____

90-Day Review: 1 st Quarter	90-Day Review: 2 nd Quarter / 6- Month Review	90-Day Review: 3 rd Quarter	90-Day Review: 4 th Quarter / Annual Review
Child meets PWB criteria: __ Yes __ No	Child meets PWB criteria: __ Yes __ No	Child meets PWB criteria: __ Yes __ No	Child meets PWB criteria: __ Yes __ No
See progress note dated: _____ for update of case.	See progress note dated: _____ for update of case.	See progress note dated: _____ for update of case.	See progress note dated: _____ for update of case.
Initials: _____	Initials: _____	Initials: _____	Initials: _____

90-Day Review: 1 st Quarter	90-Day Review: 2 nd Quarter / 6- Month Review	90-Day Review: 3 rd Quarter	90-Day Review: 4 th Quarter / Annual Review
Child meets PWB criteria: __ Yes __ No	Child meets PWB criteria: __ Yes __ No	Child meets PWB criteria: __ Yes __ No	Child meets PWB criteria: __ Yes __ No
See progress note dated: _____ for update of case.	See progress note dated: _____ for update of case.	See progress note dated: _____ for update of case.	See progress note dated: _____ for update of case.
Initials: _____	Initials: _____	Initials: _____	Initials: _____

90-Day Review: 1 st Quarter	90-Day Review: 2 nd Quarter / 6- Month Review	90-Day Review: 3 rd Quarter	90-Day Review: 4 th Quarter / Annual Review
Child meets PWB criteria: __ Yes __ No	Child meets PWB criteria: __ Yes __ No	Child meets PWB criteria: __ Yes __ No	Child meets PWB criteria: __ Yes __ No
See progress note dated: _____ for update of case.	See progress note dated: _____ for update of case.	See progress note dated: _____ for update of case.	See progress note dated: _____ for update of case.
Initials: _____	Initials: _____	Initials: _____	Initials: _____

Pathways to Well-Being Member Tracking Sheet

AQIS Contacts
(714) 796-0123

Cheryl Pitts, LCSW
cpitts@ochca.com

BlancaRosa Craig, LMFT
bcraig@ochca.com