

County of Orange
Summary of Mental Health Services Act Funding, Fund 13Y
As of Fiscal Year 2017-18 (June Final)

Purpose: The table below summarizes the revenue, expenditures, and obligations for each of MHSAs components and provides estimated component balances to assist with program strategic planning and budgeting. Details for each component are also available and accompany this summary.

MENTAL HEALTH SERVICES ACT FY 2017-18		Transfers from CSS				Total	Prudent Reserve	
		CSS	PEI	INN	WET			CFTN
Carryover of Funds from FY 2016-17	(1)	117,365,707	34,106,806	28,241,626	-	3,739,869	183,454,008	70,921,582
Prior Period Adjustments	(2)	(4,887,582)	(6,865,647)	(1,316,630)			(13,069,859)	
RESTATED Carryover funds from FY 2016/17		112,478,125	27,241,159	26,924,996	-	3,739,869	170,384,149	70,921,582
MHSA Allocation Received for FY 2017-18		122,944,077	30,736,019	8,088,426	-	-	161,768,522	
Interest Revenue for FY 2017-18		2,780,469	692,779	172,857	-	-	3,646,105	
Transfers from Community Services and Supports to Other MHSA Subaccounts to Cover Approved Project Expenses	(3)	(4,355,983)	-		4,355,983	-	-	
Total Funding Available for FY 2017-18		233,846,688	58,669,957	35,186,279	4,355,983	3,739,869	335,798,776	70,921,582
Total Expenditures for Approved Projects per FY 2017-18 MHSA Plan		(115,338,234)	(25,136,390)	(4,292,309)	(3,827,380)	(2,974,762)	(151,569,075)	
Program Related County Costs		(16,597,664)	(5,548,003)	(980,454)	(528,603)	(292,785)	(23,947,509)	
Total Program and Administrative Costs		(131,935,898)	(30,684,393)	(5,272,763)	(4,355,983)	(3,267,547)	(175,516,584)	-
Carryover of FY 2017-18 Available Funds		101,910,790	27,985,564	29,913,516	-	472,322	160,282,192	70,921,582
Amount of MHSA Funds Obligated but Unspent for FY 17/18	(4)							
Purchase of Site for Co-Located Behavioral Health Services		(7,723,934)	-	-	-	-	(7,723,934)	
Board Approved Allocation for Housing		(55,000,000)	-	-	-	-	(55,000,000)	
Pending Adjustments identified to be recorded in FY 2018-19:	(5)							
Release of amount held in liability account which per AB114 are no longer due back to the State			243,837				243,837	
Adjustment required per State to reclassify a portion of Prudent Reserve Funds back to PEI			11,343,034				11,343,034	(11,343,034)
Total for Pending Obligations and Adjustments		(62,723,934)	11,586,871	-	-	-	(51,137,063)	(11,343,034)
ADJUSTED Carryover of FY 2017-18 Available Funds Less Obligations/Adjustments		39,186,856	39,572,435	29,913,516	-	472,322	109,145,129	59,578,548
Estimated New Revenue for FY 2018-19	(6)	119,342,084	29,990,800	7,965,987	-	-	157,298,871	
Estimated Transfers from Community Services and Supports to Other MHSA subaccounts	(6)	(25,154,294)	-	-	5,150,282	20,004,012	-	
Estimated Expenditures	(6)	(145,612,490)	(35,452,761)	(12,205,299)	(5,150,282)	(20,476,334)	(218,897,166)	
Projected Available Funds for FY 2018-19		(12,237,844)	34,110,474	25,674,204	-	-	47,546,834	59,578,548

Mental Health Services Act (MHSA/Prop 63)
Allocation Summary for Fiscal Year 2017-18 (Final)
Community Services and Supports (CSS)

Purpose: To report on the revenues and expenditures by MHSA component and identify any pending items to provide information needed for strategic planning and programming.

		FY 2016/17	FY 2017/18	
		Actuals	Budget	Actuals
Carryover of Funds from Prior Fiscal Year	(1)	97,583,337	114,116,067	117,365,707
Prior Period Adjustments	(2)			
Adjust prior period allocations of CSS and PEI per state direction		-	-	4,446,062
Adjust for prior period expenditures paid in FY 17/18				(9,333,644)
Revenue for MHSA Allocation		113,304,133	123,561,567	122,944,077
Interest Revenue		1,384,739	1,416,724	2,780,469
Transfers from Community Services and Supports to Other MHSA Subaccounts to Cover Approved Project Expenses	(3)		(12,880,263)	(4,355,983)
Total Funding Available		212,272,209	226,214,095	233,846,688
Board and MHSA Committee Approved Projects per FY 17-18 MHSA Plan				
Full Service Partnership Programs				
1. Children's Full Service Partnership/Wraparound		12,634,453	6,654,575	13,745,373
2. Children and Youth Behavioral Health Program of Assertive Community Treatment			1,100,000	
3. Transitional Age Youth Full Service Partnership/Wraparound		6,057,135	8,434,468	5,905,778
4. Adult Full Service Partnership		29,683,134	16,192,093	30,430,678
5. Adult/Adult Transitional Age Youth Program of Assertive Community Treatment		321,784	8,428,018	814,306
6. Assisted Outpatient Treatment		744,761	5,015,841	692,498
7. Mental Health Court-Probation Services		-	921,000	
8. Older Adult Full Service Partnership		2,716,050	2,683,249	1,845,645
9. Older Adult Program of Assertive Community Treatment		-	521,632	
10. FSP Portion of Non-Admin Programs under Other Programs			12,598,421	
Total Full Service Partnership Programs		52,157,317	62,549,297	53,434,278
Other Service Programs				
1. Children's In-Home Crisis Stabilization		306,793	325,644	288,206
2. Children's Crisis Residential		802,825	1,001,474	822,155
3. Mentoring for Children and Youth		413,985	500,000	489,628
4. Children's Crisis Assessment Team		1,019,320	637,962	932,950
5. OC Children w/Co-Occurring Mental Health & Chronic Acute Severe Physical Illness, Special Needs or Eating Disorders		436,950	1,250,000	
6. Youth Core Services		447,773	2,500,000	(138,403)
7. Children's Co-Occurring Mental Health and Substance Abuse Disorders Residential Treatment		204,710	427,500	354,628
8. Transitional Age Youth Crisis Residential			74,568	
9. Adult Outreach & Engagement		514,325	1,027,973	480,871
10. Adult/Adult Transitional Age Youth Crisis Assessment Team/Psychiatric Evaluation and Response Team		3,244,823	3,234,483	3,371,144
11. Adult Crisis Residential		1,062,366	2,448,594	1,478,574
12. Supportive Employment		980,114	1,097,010	973,059
13. Wellness Centers		2,565,552	2,896,372	2,789,019
14. Recovery Centers/Clinic Recovery Services / Open Access		6,806,480	7,505,360	6,160,354
15. Adult/Older Adult Peer Mentoring		934,528	1,374,888	1,258,483
16. The Courtyard		146,356	490,000	175,979
17. Bridge Housing for the Homeless		5,000,000	36,000,000	35,000,000
18. Housing/Year-Round Emergency Shelter		111,116	512,693	132,619
19. Transportation			1,000,000	

		FY 2016/17	FY 2017/18	
		Actuals	Budget	Actuals
20. Adult and Transitional Age Youth In-Home Crisis Stabilization			1,012,500	
21. Integrated Community Services		1,667,051	1,848,000	1,435,019
22. Crisis Stabilization Units			3,400,000	1,020
23. Adult Co-Occurring Mental Health And Substance Abuse Disorders Residential Treatment			425,000	264,025
24. Older Adult Recovery Services		1,278,013	1,286,047	1,339,829
25. Housing			68,144	65,841
26. BHS Co-Located Services			-	-
Other MHPA Eligible Projects				4,228,956
Total Other Service Programs		27,943,080	72,344,212	61,903,956
Program Related County Costs		14,806,105	17,818,832	16,597,664
Total Program and Administrative Costs	(7)	94,906,502	152,712,341	131,935,898
Carryover of Available Funds		117,365,707	73,501,754	101,910,790
Pending Obligations/Adjustments:	(4)			
Purchase of Site for Co-Located Behavioral Health Services		-		(7,723,934)
Remaining Allocation for Housing (Original \$70.5M)		-		(55,000,000)
Adjusted Carryover of Available Funds Less Known Obligations		117,365,707	73,501,754	39,186,856
FY 2018-19 Approved MHPA Plan - CSS Budget	(6)			
Estimated New Revenue for FY 2018-19		-	-	119,342,084
Estimated Transfers from Community Services and Supports to Other MHPA subaccounts		-	-	(25,154,294)
Estimated Expenditures		-	-	(145,612,490)
Projected Available Funds for FY 2018-19		-	-	(12,237,844)

Mental Health Services Act (MHSA/Prop 63)
Allocation Summary for Fiscal Year 2017-18 (Final)
Prevention and Early Intervention (PEI)

Purpose: To report on the revenues and expenditures by MHSA component and identify any pending items to provide information needed for strategic planning and programming.

		FY 2016/17	FY 2017/18	
		Actuals	Budget	Actuals
Carryover of Funds from Prior Fiscal Year	(1)	35,453,774	38,079,778	34,106,806
Prior Period Adjustments	(2)		-	-
Adjust prior period allocations of CSS and PEI per state direction				(4,446,062)
Adjust for prior year expenditures paid in FY 2017/18				(2,419,585)
Revenue for MHSA Allocation		28,326,033	30,890,392	30,736,019
Interest Revenue		497,692	509,460	692,779
Total Funding Available		64,277,499	69,479,630	58,669,957
Board and MHSA Committee Approved Projects per FY 2017-18 MHSA Plan				
Early Intervention Programs				
1. Stress Free Families		470,101	534,693	555,913
2. 1st Onset of Psychiatric Illness		1,414,835	1,500,000	1,431,728
3. OC Maternal and Family Wellness		1,910,953	2,113,072	1,656,481
4. Early Intervention Services for Older Adults		1,406,881	1,469,500	1,469,855
6. Crisis Prevention Hotline		239,933	327,533	430,418
7. Survivor Support Services		286,799	293,693	271,901
5. Community Counseling and Supportive Services		1,681,686	2,186,136	1,880,480
8. OC4VETS		1,179,053	1,295,957	792,565
9. OC ACCEPT		478,383	490,000	520,853
17. School Based Mental Health Services		651,816	2,040,665	238,704
19. School Based Behavioral Health Intervention and Support-Early Intervention Services		1,703,956	440,000	437,453
22. School Readiness and Connect the Tots		1,030,977	1,210,000	1,091,049
Total Early Intervention Programs		12,455,373	13,901,249	10,777,400
Prevention Programs				
10. Family Support Services		688,611	282,000	479,509
11. Parent Education Services		466,120	1,066,000	570,475
12. Children's Support and Parenting Program		1,398,962	1,800,000	1,386,093
13. Outreach and Engagement Collaborative		2,618,227	2,819,044	2,680,544
14. Behavioral Health Services Outreach and Engagement Services		1,035,195	1,300,000	935,925
15. WarmLine		450,658	481,566	462,342
16. College Veterans Programs		79,724	400,000	84,383
17. School Based Mental Health Services		1,490,476	874,571	1,916,272
18. School Based Behavioral Health Intervention and Support		440,000	1,808,589	1,794,994
20. Violence Prevention Education		1,129,470	1,075,651	985,619
21. Gang Prevention Services		68,313	253,100	240,041
22. School Readiness and Connect the Tots		564,469	990,000	575,696
23. School Based Stress Management Services		154,999	155,000	148,860
24. Training, Assessment and Coordination Services		143,665	708,610	17,600
25. Information and Referral / OC Links		745,325	1,000,000	964,569
26. Training in Physical Fitness and Nutrition		9,045	15,000	1,735
27. Mental Health Community Educational Events		305,601	214,333	214,333
28. Statewide Projects		900,000	900,000	900,000
Total Prevention Programs		12,688,860	16,143,464	14,358,990
Program Related County Costs		5,026,460	5,408,048	5,548,003
Total Program and Administrative Costs	(7)	30,170,693	35,452,761	30,684,393
Carryover of Available Funds		34,106,806	34,026,869	27,985,564
Pending Obligations/Adjustments:				
	(5)			
Release liability recorded in previous fiscal year				243,837
Reclassify portion of PEI amount moved to Prudent Reserve per State				11,343,034
Adjusted Carryover of Available Funds Less Known Obligations		34,106,806	34,026,869	39,572,435
FY 2018-19 Approved MHSA Plan - PEI Budget	(6)			
Estimated New Revenue for FY 2018-19		-		29,990,800
Estimated Expenditures		-		(35,452,761)
Projected Available Funds for FY 2018-19		-	-	34,110,474

Mental Health Services Act (MHSA/Prop 63)
Allocation Summary for Fiscal Year 2017-18 (Final)
Innovation (INN)

Purpose: To report on the revenues and expenditures by MHSA component and identify any pending items to provide information needed for strategic planning and programming.

		FY 2016/17	FY 2017/18	
		Actuals	Budget	Actuals
Carryover of Funds from Prior Fiscal Year	(1)	22,574,691	26,729,295	28,241,626
Adjustment for Prior Year Expenditures paid in FY 2017/18	(2)			(1,316,630)
Revenue for MHSA Allocation		7,454,219	8,129,050	8,088,426
Interest Revenue		202,985	207,740	172,857
Total Funding Available		30,231,895	35,066,085	35,186,279
Board and MHSA Committee Approved Projects per FY 2017-18 MHSA Plan				
Group 3 INN Programs				
1. Continuum of Care for Veteran and Military Children and Families			800,000	1,193
2. Child-Focused Mental Health Training for Religious Leaders			550,104	-
3. Immigrant Screening and Referrals			650,000	-
4. Whole Person Healing Initiative			2,301,432	-
Mental Health Technology Solutions				3,007,428
Group 2 INN Programs				-
1. Step Forward Project: On-Site Engagement in the Collaborative Courts		276,958	224,015	216,489
2. Religious Leaders Behavioral Health Training Services		310,975	259,450	265,597
3. Strong Families-Strong Children: Behavioral Health Services for Military Families		531,308	445,904	434,322
4. Behavioral Health Services for Independent Living			437,491	367,280
Total Innovation Programs		1,119,241	5,668,396	4,292,309
Program Related County Costs		871,028	1,020,311	980,454
Total Program and Administrative Costs	(7)	1,990,269	6,688,707	5,272,763
Carryover of Available Funds		28,241,626	28,377,378	29,913,516
FY 2018-19 Approved MHSA Plan -INN Budget	(6)			
Estimated New Revenue for FY 2018-19		-	-	7,965,987
Estimated Expenditures		-	-	(12,205,299)
Projected Available Funds for FY 2018-19		-	-	25,674,204

Mental Health Services Act (MHSA/Prop 63)
Allocation Summary for Fiscal Year 2017-18
Workforce Education and Training (WET)

Purpose: To report on the revenues and expenditures by MHSA component and identify any pending items to provide information needed for strategic planning and programming.

		FY 2016/17	FY 2017/18	
		Actuals	Budget	Actuals
Carryover of Funds from Prior Fiscal Year			-	-
Transfers from Community Services and Supports to Cover Approved Project Expenses	(2)	4,547,430	5,150,282	4,355,983
Total Funding Available for FY 2017-18			5,150,282	4,355,983
Board and MHSA Committee Approved Projects per FY 2017-18 MHSA Plan				
1. Workforce Staffing Support		508,876	492,240	1,128,221
2. Training and Technical Assistance		1,336,693	1,447,674	1,208,980
3. Mental Health Career Pathways Program		857,750	927,000	858,633
4. Residencies and Internships Program		225,533	238,381	233,560
5. Financial Incentives Programs		348,895	1,259,351	397,986
Total Workforce Education and Training Programs		3,277,747	4,364,646	3,827,380
Program Related County Costs		1,269,683	785,636	528,603
Total Program and Administrative Costs	(7)	4,547,430	5,150,282	4,355,983
Carryover of Available Funds		-	-	-
FY 2018-19 Approved MHSA Plan - WET Budget	(6)			
Estimated Transfers from Community Services and Supports to Other MHSA subaccounts		-	-	5,150,282
Estimated Expenditures		-	-	(5,150,282)
Projected Available Funds for FY 2018-19				-

Mental Health Services Act (MHSA/Prop 63)
Allocation Summary for Fiscal Year 2017-18
Capital Facilities and Technological Needs

Purpose: To report on the revenues and expenditures by MHSA component and identify any pending items to provide information needed for strategic planning and programming.

		FY 2016/17	FY 2017/18	
		Actuals	Budget	Actuals
Carryover of Funds from Prior Fiscal Year	(1)	3,739,869	4,528,868	3,739,869
Transfers from Community Services and Supports to Cover Approved Project Expenses	(2)	3,418,388	7,729,981	-
Total Funding Available for FY 2017-18		7,158,257	12,258,849	3,739,869
Board and MHSA Committee Approved Projects per FY 2017-18 MHSA Plan				
11. Electronic Health Record (EHR)		2,796,656	3,843,148	2,974,762
Total Workforce Education and Training Programs		2,796,656	3,843,148	2,974,762
Program Related County Costs		621,732	691,767	292,785
Total Program and Administrative Costs	(3)	3,418,388	4,534,915	3,267,547
Carryover of Available Funds		3,739,869	7,723,934	472,322
FY 2018-19 Approved MHSA Plan - CFTN Budget	(4)			
Estimated Transfers from Community Services and Supports to Other MHSA subaccounts		-	-	20,004,012
Estimated Expenditures		-	-	(20,476,334)
Projected Available Funds for FY 2018-19		-	-	-

Mental Health Services Act (MHSA/Prop 63)
Allocation Summary for Fiscal Year 2017-18 (Final)
Footnotes

NOTES:

The Mental Health Services Act, otherwise known as MHSA, is a restricted funding source received as an allocation from the State. Funds are budgeted based on programs approved by the MHSA Steering Committee and included in the mandated Three-Year MHSA Plan Update which is approved by the Orange County Board of Supervisors (BOS). Amendments to the Plan also require a formal approval process.

Allocations are determined and disbursed by the State and funds not expended within a certain time period are subject to reversion back to the State. (CSS: 76%, PEI: 19%, INN: 5%)

Effective July 1, 2018, the MHSA Fund, Fund 13Y became a CEO Controlled Fund with related fiscal responsibilities being reassigned to the CEO Budget Office.

- (1) The beginning balances were determined through a reconciliation to the FY 2016/17 Revenue and Expenditure Report provided by AC/HCA Accounting and HCA Program Support. For transparency, the beginning balances were reconciled to the ending cash balance as of June 30, 2017, per the County's General Ledger Report.
- (2) Prior period adjustments pertain to transactions for FY 2016-17 business or prior for which the cash has not been disbursed. Included in this amount are two adjustments: 1. For the expenditure drawdowns that occurred in the fiscal year end closing process totals \$13,070,106.91; 2. Adjustment to correct the balances for the CSS and PEI subaccounts resulting from a timing difference in the implementation of a required change in the allocation of funds received to CSS (from 80% to 76%) and PEI (from 20% to 19%) to provide funding for the Innovation Account.
- (3) Per the MHSA Act, once the initial funding for the WET and CFTN subaccounts has been depleted, future funding is to come from the CSS allocation. To date, WET has utilized all funding previously allocated and funds are transferred from CSS as required to cover eligible expenditures. This line item includes the full amount budgeted. For CFTN, due to the approved plan per AB114, a total of \$3.7M in funds were carried over from the original allocation and are to be expended prior to any draw downs from the CSS Account. This line item shows the full amount budgeted, however, existing balances will be used prior to any intrafund transfers.
- (4) Amounts obligated are for projects approved by the Board and are pending disbursement or eligible program expenditures. These amounts may not be used for any other purposes without Board approval.
 - (a) Purchase of the site for the co-located behavioral health services. This is anticipated to house services for MHSA eligible clients. The site has been purchased but due to funding restrictions, MHSA funds cannot be used until certain criteria are met. Funds are anticipated to be used in FY 2018-19.
 - (b) Board approved use of \$70.5M from CSS funds to be used for Supportive Housing. At the June 26, 2018, BOS meeting, \$15M was added to the FY 17/18 budget and paid to CalHFA. The balance remaining from the Board allocation is \$55M and pending eligible projects to be approved.
- (5) There are two adjustments pending that pertain to FY 2017-18 that will be completed in early 2018-19: (1) Release of an amount held in a liability account as it was anticipated to be due back to the State, however, with the approval of AB114, the funds are no longer due back and are to be returned to the PEI subaccount; (2) Adjustment needed to the amount held in the Prudent Reserve to reclassify PEI funds transferred after the State's allowed timeline.
- (6) Amounts for FY 2018-19 are obtained from the approved Three-Year MHSA Plan for FY 2018-19 to FY 2020-21 as approved by the BOS on May 22, 2018.
- (7) Variances between amount budgeted and actual amount expended are due to various factors including unanticipated revenue offsetting the need for MHSA funds, timing delays for start up of new programs due to contracting, staffing, etc, or for decreased demand in services.